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# State-of-the-art and recent progress in resorcinarene-based cavitand<sup>☆</sup>

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## ABSTRACT

Compartmentalization in the biological world brings excellent efficiency and specificity to the formation of complex compounds, inspiring supramolecular chemists to continuously search for defined spaces that can mimic such natural binding sites. Bowl-shaped cavitands built up from resorcinarenes (RA) present rigid and preorganized concave surfaces, which are capable of mimicking the molecular recognition properties of enzymes. The versatile scaffold of RA endows the cavitand with terrific variety and excellent binding behavior. This review provides a comprehensive overview over the structural modification to date in the high attention field of RA-based cavitands development. Different strategies for synthesizing diverse cavitands, such as small cavity cavitands, wider cavity cavitands, deep cavity cavitands, biscavitands, and asymmetric cavitands, are discussed in details. Furthermore, insights into their applications including catalysis, separations and sensing are provided.

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## 1. Introduction

Compartmentalization in biological systems can facilitate sub-cellular process by concentrating different components to confined spaces where many complicated biological behaviours occur. Inspired by nature, chemists have been constantly searching for confined spaces that mimic natural binding sites. So far, a plethora of different hosts [1] have been successfully constructed and extensively applied in various fields such as catalysis [2], signalling [3], sensing [4] and separations [5]. Cavitands, which were coined by Nobel Laureate Donald J. Cram as ‘enforced cavities large enough to accommodate simple molecules or ions’ have grown expansively over the past few decades [6,7]. By definition, cavitands can refer to any hosts with enforced cavities, such as crown ether, cyclodextrin, cucurbituril and calixarene. Nevertheless, this term has gradually evolved to refer resorcinarene (RA) scaffold-based hosts over the last three decades. Occasionally, it can be seen in literatures discussing aryl-extended calixpyrrole receptor [8,9], gated molecular basket [10,11] or some other hosts with a specific name [12]. Here, within this context, the term cavitand is limited to refer as RA-based hosts. Owing to the bowl-like conformation, there is only one open end within cavitand, whereas other hosts like pillararenes or cucurbiturils have two ends that allow guest

molecules to bind and egress, which give cavitand unique properties.

The definitive structure of RA was first reported by Erdtman and co-workers in 1968 [13], although its initial investigation can date back to 1872 [14]. RAs are generally synthesized by acid-catalyzed condensation of aldehyde with resorcinol or pyrogallol. Under alkaline conditions, the reaction of formaldehyde and resorcinol will give RAs with no alkyl group at the C-junctions [15]. The clockwise or counterclockwise interannular hydrogen bonding between the phenolic hydroxyl groups makes RA a bowl-like conformation, in which there is a shallow cavity that can accommodate some small entities [16]. Although the original RA molecules have limited applications, it is noteworthy that the active sites within RA can be easily modified which significantly expanded their applications in various fields (Scheme 1). First, the phenolic pairs on adjacent rings of RA cores can be linked by alkylene [17], alkylsilylcon [18], phosphoryl [19], phenylene [20] and so on. The approach of bridging inhibits the flipping of benzene rings, endowing the RA with rigidified cavities. Second, the electron-rich C-2 position of RA can be functionalized by electrophilic aromatic substitution reaction. Third, the lower rims can also be connected *via* feet-to-feet to create a bis-RA or to decorate with specific groups to make RA soluble in different solvent [21]. These versatile functionalization approaches significantly enriched the application of RA-based cavitands.

In this review, we expect to give a concise overview over the structural diversities realized in RA-based cavitands to date. We will introduce and discuss the different type of cavitands realized in this field. Different strategies for synthesizing diverse cavitands,

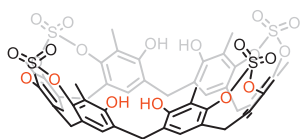
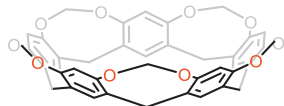
<sup>☆</sup> Dedication to Prof. Lixin Dai on the Occasion of His Centenary Birthday.

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Fig. 5. Chemical structure of tetrasulfate-bridged R[6]A cavitand **5**.Fig. 6. Chemical structure of R[5]A based cavitand **6**.

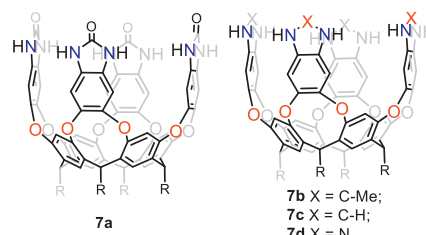
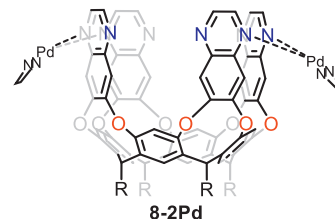
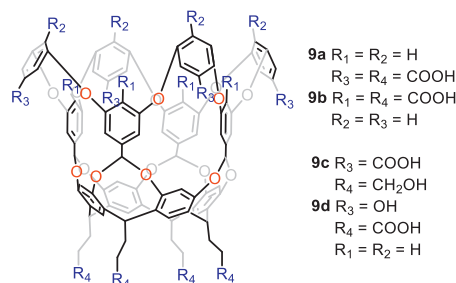
more easily modified phenolic hydroxyl groups. Subsequently, the first example of R[6]A based cavitand **5** was obtained, where sulfate bridges were introduced between the adjacent phenolic hydroxyl groups (Fig. 5) [43]. The obtained tetrasulfate cavitand **5** was able to interact with the 1,8-diammoniumoctane cation in solution via a two-point double-hydrogen bonding between the ammonium groups and the sulfate bridges. **5** was also able to accommodate the dimethylviologen cation by ion-dipole interaction. The R[6]A cavitand was considered as the first examples of supramolecular application of larger RA macrocycles which stimulated great interest in constructing cavitand with wider cavity.

Recently, Agnieszka Szumna and co-workers have shown an easy and scalable syntheses of various higher analogues of R[4]A, such as R[5]A, (2-nitro/carboxyl) R[5]A, pyrogallol[5]arene and R[7]A [44], among which the R[5]A have demonstrated potential applications in the construction of capsules and molecular cages due to their unique symmetry and proven tuneability [45]. Moreover, R[5]A was utilized to synthesize *O*-methylene bridged R[5]A-based cavitand **6** with bromochloromethane in DMA (Fig. 6). The  $^1\text{H}$  NMR spectrum confirmed that the ring has been locked in  $C_{5v}$ -symmetric vase conformation. In addition, they also realized other chemical modifications to these homologues through different reactions such as Mannich reaction.

#### 4. Deep cavity cavitands

The aforementioned small and wider cavitands are too shallow to accommodate large guest molecules, severely limiting their development as molecular containers. Therefore, chemists began to introduce functional "walls" to fabricate deep-cavity cavitand to make them suitable containers. For instance, Diederich's group investigated the switchable supramolecular nanosystems in depth, such as the pH- [46], redox- [47,48], and photo-responsive [49,50] RA-based receptors. Enrico Dalcanale's group studied the application of deep cavitands in constructing coordination cages [51] and supramolecular sensors [52–55]. Hooley's group focused on biosensing with arrayed deep cavitand hosts [56,57]. Apart from these above, deep cavitands were mainly used for separation of isomers, studying conformation of trapped guests and catalysis. Up to now, there are two main classes of deep cavity cavitand: Rebek's dynamic cavitands [58–61] and Gibb's rigid cavitands [62–64].

Rebek and Yu's group has delved into cavitands and investigated their binding behaviour with guest molecules [65–67]. Recently, they synthesized four container hosts (tetraurea cavitand **7a**, tetramethylbenzimidazole cavitand **7b**, tetrabenzimidazole cavitand **7c** and tetrabenzotriazole cavitand **7d**, Fig. 7). Their binding with the molecular "dumbbell" guests in water were investigated and the contribution of the hydrophobic effect and the cation- $\pi$  interaction were studied in depth [68]. The results showed that the container molecule always preferred binding to the uncharged *tert*-butyl group, regardless of the presence of charged groups on

Fig. 7. Chemical structures of cavitand **7a-7d**.Fig. 8. Chemical structure of metallo-cavitand **8-2Pd**.Fig. 9. Chemical structures of cavitands **9a-9d**.

the container periphery. This preference was largely determined by the solvation of polar trimethylammonium in water, which out-competed the attraction between the positive charge and the  $\pi$ -surface of the container wall. Furthermore, cavitand **7d** was found to be able to form velcra in water and bind with both hydrophobic and amphiphilic guests [69]. Recently, they applied their water-soluble capsule with benzoselenodiazole walls for the isomer separation of alkyl-*O*-methyl oximes derivatives. The results showed that the capsule preferred binding *Z*-isomers and the binding affinity varies with the terminal substituents. Furthermore, the presence of capsular cavity can promote the *E-Z* isomerization, and was accelerated 10-fold by sonication [70].

While Rebek's group is well-known for their dynamic deep-cavity cavitand, they reported rigid cavitands where the vase conformation was stabilized by metal coordination. They added increasing quantities of  $\text{Pd}(\text{EDA})(\text{H}_2\text{O})_2 \cdot 2\text{NO}_3$  to a quinoxaline cavitand and metallo-cavitand **8-2Pd** was successfully obtained [71]. **8-2Pd** could serve as a selective container to efficiently separate *p*-functionalized toluene derivatives from a mixture of isomers (Fig. 8) [72]. Moreover, **8-2Pd** could also separate *n*-alkanes from their mixtures with isooctane, and *trans*-1,4-dimethyl cyclohexane from its mixture with *cis*-isomer [73]. Later, they prepared another new metallo-cavitands which showed selectivity for *o*-difluorobenzene over its isomers with >99.9% purity and binding towards hydrophilic molecules [74,75]. In addition to metal coordination, they used covalent linkages to bridge adjacent walls and formed two new cavitands which could separate *p*-cresol from its *m*-isomer and separate *o*-xylene from its *p*- and *m*-isomers, respectively [76].

In 2004, Gibb's group synthesized a rigid cavitand **9a** with an external coating of carboxylic acid groups (Fig. 9) [77]. To fur-

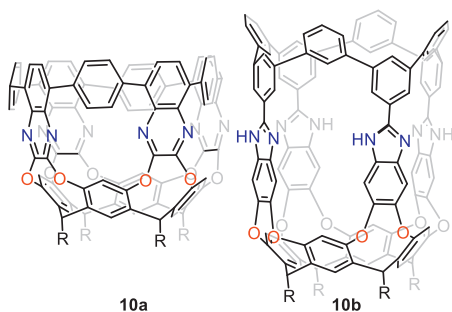


Fig. 10. Chemical structures of RA-based tubularenes **10a** and **10b**.

ther understand the important factors in context dependent guest binding, they challenged the molecular dynamics (MD) simulations to examine the affinity and binding thermodynamics of positive and negative charged guests to two constitutionally isomeric cavitated hosts octa-acid **9a** and *exo*-octa-acid **9b** [78]. The binding of cationic guests to **9b** was stronger than that of anionic guests, whereas **9a** shows opposite trends. Their test revealed that the asymmetry in affinity of the host-guest pair could not be explained by simple Coulomb interaction. It could also be arisen from complex ion-ion and ion-couple interactions. Moreover, they explored how the orientation of R1 and R2 groups on the wettability of the non-polar pocket [79,80]. Ramamurthy *et al.* synthesized two new cavitated tetra-acid tetra-alcohol **9c** and **9d** based on Gibb's work [81]. Due to different substituents on the upper-rim, it can be observed that **9c** was a desired inert container as sensitizers for triplet exciton utilization, but **9d** was not. They also demonstrated that the benzoate moiety at the periphery was the key factor to the sensitizer.

In order to further increase bending strain and complexity of aromatic systems, Raúl Hernández Sánchez's group was committed to exploring the possibility of using RA to template the synthesis of strained conjugated aromatics. Firstly, they synthesized octabromo-derivative using RA and 2,3-dichloro-5,8-dibromoquinoxaline under basic conditions, which was followed by Suzuki coupling with 1,4-benzenediboronic to afford tubularene **10a** (Fig. 10) [82]. Later, they treated octamine materials with 3,5-dibromobenzaldehyde to obtain another octabromo derivative, which was then coupled with 1,3-phenyldiborate bis(pinacol) ester to generate cavitant **10b** [83]. The architecture of these tubularenes is highly tunable, which could be further modified. The expansion of tubularene family will open up a new landscape for discovery of novel properties and applications of contorted aromatic systems [84].

## 5. Biscavitands

Biscavitands refer to a type of dimer cavitateds bridged *via* feet-to-feet connected alkyl chains. Lower rim-connected ditopic cavitant will not be included in this section [85]. Different from (hemi)carcerands [86], biscavitands usually encapsulate guest molecules by their two isolated cavities. It is difficult for guests to be trapped in the flexible cavity formed by alkyl linkages. Haino's group has done lots of work based on bisresorcinarenes (Bis-RA, Fig. 11) [87], which were optimally synthesized *via* condensation of resorcinol and even-numbered bisdioxolanes under acid-catalyzed conditions. As precursor of biscavitands, bis-RAs processed similar structures, which were initially synthesized in order to achieve supramolecular polymerization *via* intermolecular hydrogen bonding between phenolic groups [88]. In 2017, they reported an improved synthesis of bis-RAs **11a-e** [89]. Single crystal analysis revealed that **11a** and **11b** adopted two helical conformations (*P* and *M*) that could dynamically exchange in solution, whereas the

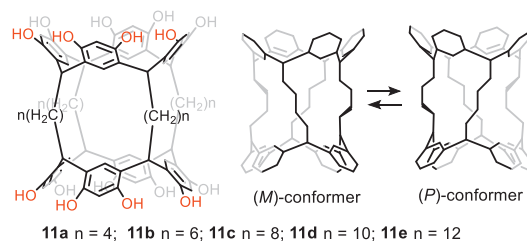


Fig. 11. Bis-RAs **11a-e** and the interconversion of helical conformations.

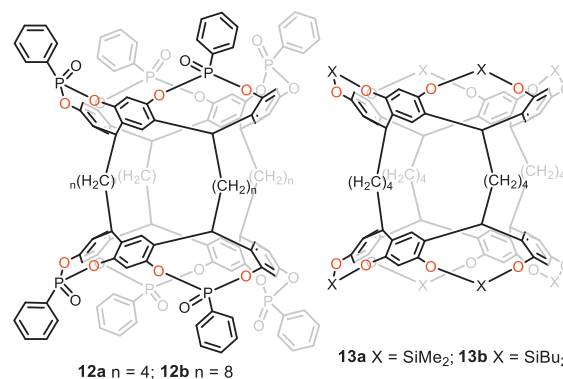


Fig. 12. Chemical structures of biscavitands **12a-b** and **13a-b**.

long aliphatic chains of **11c-e** preferred parallel orientations for their two RA units. Interestingly, when odd-numbered bisdioxolanes were applied to reaction, triresorcinarenes were generated [90].

On the basis of previous work about bis-RAs, Haino's group began to focus on introducing interannular bridges to form a series of biscavitands. In 2016, octaphosphonate biscavitands **12a-b** with phosphonate interaromatic bridges were successfully synthesized (Fig. 12) [91]. Similar to bis-RAs, their flexible conformations were largely attributed to the presence of the length of alkyl chain linker. However, different lengths of alkyl chains led to distinct allosteric effects during the formation of host-guest complexes. **12a-b** were able to bind ammonium guest through hydrogen bond and CH- $\pi$  interactions in a host-to-guest ratio of 1:2. The complex of **12a** with the first guest significantly facilitated the second guest binding, which proved the positive allosteric effect while **12b** indicated a negative cooperativity [92]. Later, the group fabricated other two biscavitands **13a** and **13b** with SiMe<sub>2</sub> or SiBu<sub>2</sub> groups in the interannular bridge [93]. Both two biscavitands adopted D<sub>4h</sub> symmetric conformation at room temperature and the energy barrier for conformational conversion was obviously higher than bis-RAs due to restriction of interannular bridges.

Lately, to further deepen the cavity of biscavitands for more fascinating function, they first synthesized octaiodobiscavitand **14a** through aromatic Finkelstein iodination (Fig. 13), then Suzuki-Miyaura and Sonogashira coupling reactions were applied to make modification on the upper its rims to obtain **14b** and **14c**, in which the latter was able to self-assemble into supramolecular polymer in solid state [94]. Then, they introduced new kinds of biscavitand carrying Rebek's deep cavitant building block. Hexadecanitro biscavitand **15a** was synthesized and modified by reduction and acylation to afford **15b** [95]. The cooperativity of host-guest complexation was influenced by the solvation of the cyclic hydrogen bonds. Thermodynamic parameters for complexation process implied the homotropic negative cooperativity in toluene and chloroform as well as non-cooperativity in THF.

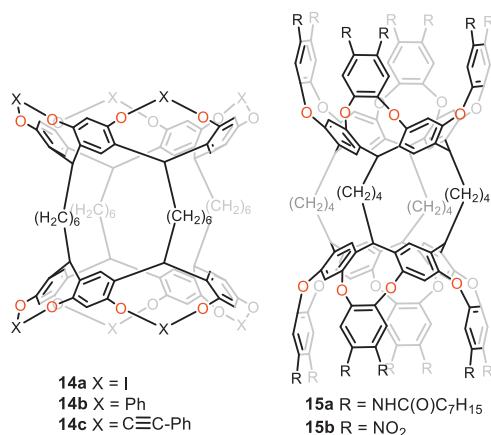


Fig. 13. Chemical structures of **14a-c** and **15a-b**.

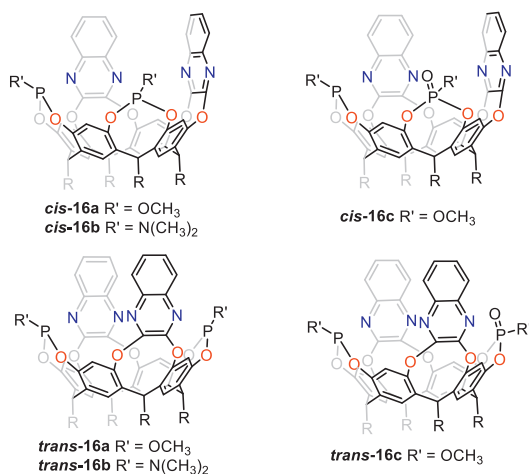


Fig. 14. The structures of cavitands *cis*-**16a-c** and their *trans*-isomers.

## 6. Asymmetric cavitands

The symmetrical cavitands are the ones that the hydroxyl groups of the RA are bridged by identical functional groups. The effectiveness of RA in catalysis and separation can often be improved by pre-organization of the guest methods [96]. The cavitands themselves have limited catalytic ability. Therefore, some researchers tried to fabricate cavitands for catalytic use by incorporating various catalytic functional groups in asymmetric cavitands [97].

Tetsuo Iwasawa's group has done a series of work to synthesize asymmetric catalytic cavitands according to the above strategies [98–102]. Recently, they successfully synthesized three cavitands with *cis*-arrangement of two quinoxaline walls (*cis*-**16a-c**) where the two catalytic centers were inwardly oriented. Homogeneous gold-catalyzed dimerization, hydration of alkynes and rhodium-catalyzed hydroformylation of styrene were carried out compared with isomers *trans*-**16a-c** (Fig. 14) [103]. The structure-activity relationship analysis revealed that the less impeded *cis*-environment around the metal center reduced or modified the product distribution in comparison with *trans*-**16a-c**. The shorter distance between the *cis* isomers promoted cooperation of the two metal catalytic centers. Their study revealed that the *cis*- or *trans*-positioning of the catalyst centers directly influenced cooperation between the

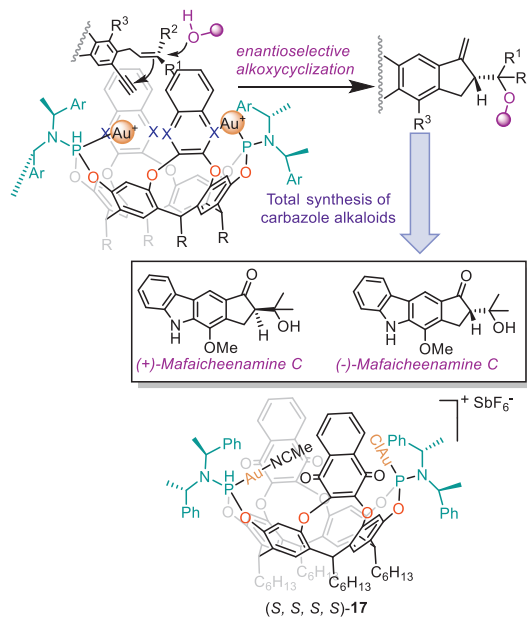


Fig. 15. Chiral gold(I) catalyst (*S,S,S,S*)-**17** and its application in total synthesis of (+)- and (-)-mafaicheenamine C.

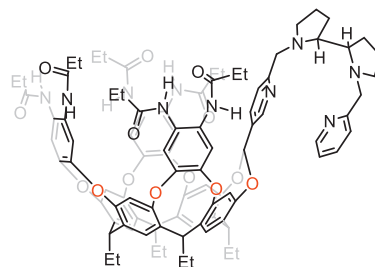
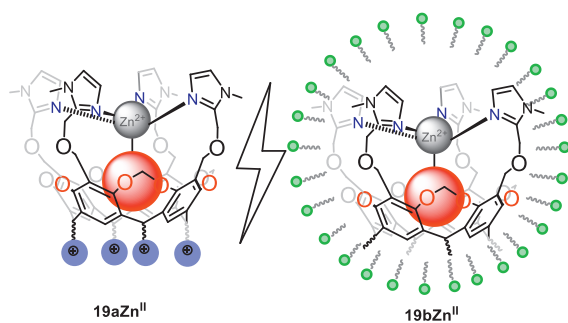


Fig. 16. Chemical structure of self-folding cavitand **18**.

two metallic atoms to control catalytic activity, reaction profile, and product selectivity.

Antonio *et al.* designed and synthesized a new family of achiral and chiral gold(I)-cavitand complexes (Fig. 15) [104]. The effects of these gold(I)-cavitands as catalysts on the *exo/endo* selectivity and enantioselective alkoxy cyclization of 1,6-enynes were studied. The yield and enantiomeric selectivity were affected by stoichiometric amounts of AuCl, the types of aromatic wall, temperature as well as charge factors. Their study revealed that chiral gold(I)-cavitand could develop a new enantioselective alkoxy cyclization of 1,6-enynes. Subsequently, they applied chiral gold(I) catalyst (*S,S,S,S*)-**17** to the total synthesis of (+)- and (-)-mafaicheenamine C, assigning the absolute configuration of the natural compounds. The cavity effect leading to the high regio- and stereoselectivities was supported by theoretical study.

Agustí *et al.* created a new deep cavitand receptor **18** functionalized with a chiral bis(pyridyl)dipyrrolidine tetradentate ligand, which could be coordinated to divalent metal Fe and Mn (Fig. 16) [105]. These supramolecular functional complexes were used for catalyzing selective C-H/C=C oxidation reactions with hydrogen peroxide under mild conditions. It is notable that the manganese catalyst exhibits a better performance than the iron analogue; both of them are relatively stable and show no obvious decomposition. However, one of disadvantages of using metallo-cavitand **18** as catalyst is the free rotation of the ligand scaffold, which limited the system for further application.



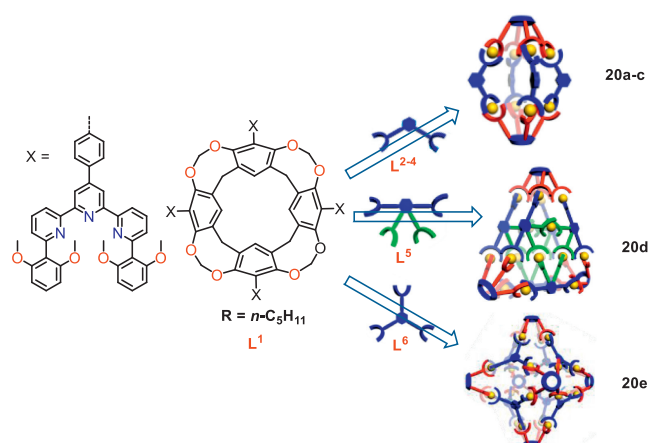
**Fig. 17.** Two strategies for the water solubilization of a RA-based metallo-receptor: water-soluble **19aZn<sup>II</sup>** and its micelle-encapsulated equivalent **19bZn<sup>II</sup>**.

## 7. Other cavitands

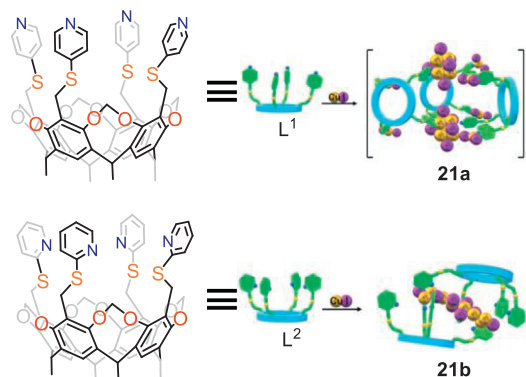
The functional groups of the above-mentioned cavitands are modified by the adjacent phenolic hydroxyl groups or lower rims. Apart from those, there are some other cavitands which do not fall into the above categories discussed here. The electron-rich C-2 position of aromatic units of RA can also be functionalized. For instance, Kenji's group pioneered in employing cavitands in making dimeric capsules [106–108]. Warmuth's group focused on designing multicomponent nanocages by dynamic covalent chemistry [109].

Olivia and co-workers prepared a series of water-soluble RA-based metallo-cavitand **19aZn<sup>II</sup>** and its dodecylphosphocholine (DPC) micelle-encapsulated equivalent **19bZn<sup>II</sup>** (Fig. 17) [110]. These biomimetic structures could recognize small organic guests such as acetate, acetylacetone and acetamide. Binding constants of acetylacetone in water with **19aZn<sup>II</sup>** and **19bZn<sup>II</sup>** was measured to be  $2.4 (\pm 0.7) \times 10^4$  L/mol and  $2.8 (\pm 0.7) \times 10^4$  L/mol at pH 7.4, respectively. The micellar environment has subtle impact on either metal ion binding or guest accommodation. It represents an easy alternative to tedious synthetic work and opens new perspectives for molecular recognition in water. Moreover, they expand the application of **19aZn<sup>II</sup>** to recognize alkyl phosphates in water [111]. The results showed that the **19aZn<sup>II</sup>** system could efficiently bind linear monoalkyl phosphates at physiological pH. The reason for these results was the synergistic effect of the metal ion binding site for phosphate anionic head, the hydrophobic cavity for alkyl moiety embedment, side-arms that could undergo protonation, thus allowing further electrostatic stabilization, spacers between the recognition points that allowed directional and spatial control of guest binding.

Additionally, self-assembled hierarchical supramolecular architectures could be constructed by RA-based cavitand. Yi-Tsu Chan and co-workers reported three types of metallo-supramolecular nanocapsules, including dimeric capsules (**20a-c**), a Sierpiński triangular prism (**20d**), and a cubic star (**20e**) (Fig. 18) [112]. They investigated the self-sorting behaviour of the three RA-based ligand **L<sup>1</sup>**, 120°-bent bis-terpyridine (bis-tpy) ligands **L<sup>2-4</sup>** and cadmium salts. The results showed that the cavity size of the dimeric capsules could be readily modulated by the bis-tpy ligands of varying spacer length, and their self-sorting behaviour was proven to be spacer-length dependent. Besides, they established an unprecedented Sierpiński triangular prism **20d** through the heteroleptic complexation of **L<sup>1</sup>** with tetrakis-tpy ligand **L<sup>5</sup>**, which will allow to further develop the precise self-assembly of elaborate 3D fractal structures such as a Sierpiński tetrahedron. Finally, they produced a cubic star **20e** through assembly of **L<sup>1</sup>** and trigonal connector **L<sup>6</sup>**. TEM and AFM experiments gained more structural insights into their structures and the obtained dimensions data consistent with the corresponding theoretical ones. This work presents an efficient



**Fig. 18.** Molecular structures and cartoon representations of the ligands **L<sup>1</sup>–L<sup>6</sup>** and corresponding self-assembled capsules **20a-e**. Reproduced with permission [112]. Copyright 2020, American Chemical Society.



**Fig. 19.** The structures of **21a** and **21b**. Reproduced with permission [116]. Copyright 2021, Royal Society of Chemistry.

self-assembly method for preparation of cavitand-functionalized nanocapsules with tunable size and geometry, which are potential candidates for applications in molecular self-recognition and catalysis.

The aforementioned cavitands involved simple molecular binding or the construction of complex structures. In addition, cavitands could coordinate with metal ions for crystal engineering [113–115]. Jiang's group synthesized two tetrapyrroline-functionalized cavitands decorated with S,N-donors at upper rims and successfully constructed two [Cu<sub>m</sub>I<sub>n</sub>] cluster-based cavitands including one dimensional MOF **21a** ( $m=6$  and  $n=5$ ) and supramolecular cluster **21b** ( $m=8$  and  $n=8$ ) (Fig. 19) [116]. Subsequently, they found that **21b** showed better catalytic performance than **21a** for Cu-catalyzed azide–alkyne cycloaddition (CuAAC) reaction. They attributed this to the nature of the porous network array of **21b**. The exposed Cu<sub>8</sub>I<sub>8</sub> cluster motif of **21b** was supported by cavitands and thus easy to coordinate with substrates (alkynes and azides) resulting in the formation of a polynuclear catalysis center, which could cooperatively catalyze CuAAC reaction. On the contrary, the Cu<sub>6</sub>I<sub>5</sub> active site of **21a** was completely trapped by six cavitands in a closed stacking structure so that the access of substrates to cluster center will be difficult.

## 8. Conclusions

In conclusion, we have provided a comprehensive overview over the structural diversity of five major classes of RA-based cavitands developed so far, as well as a discussion on their po-

tential applications. For the first and second types of cavitands, smaller and wider cavities endow them with unique host-guest chemistry properties and interesting binding behaviours. For the third type of cavitand, functionalized aromatic walls give them deep cavities to bind varieties of guest molecules, which can be used for catalysis of chemical reactions or separation of isomers. For bis-cavitands, two separate cavitands are connected feet-to-feet by alkyl chain bridge to produce bis-cavitands that are excellent candidate for constructing supramolecular polymer or studying allosteric effect. For asymmetric cavitands, introducing catalytic sites endows them with excellent catalytic ability. Despite of these fascinating achievements, many possibilities still exist in cavitand chemistry. RA-based cavitand could potentially be employed as building block for porous materials [117,118], such as porous organic polymers (POP), covalent organic framework (COF) and metal organic framework (MOF), which could apply for heterogeneous catalysis or environment remediation [119]. In addition to these, their potential application in other fields, such as drug and gene delivery, light-harvesting, are waiting to be uncovered. Designing novel structure of cavitand offers great intellectual challenges and inspiration for intriguing applications.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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