

# Vertical development of a *Prorocentrum donghaiense* bloom in the coastal waters of the East China Sea: coupled biophysical numerical modeling

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## Abstract

Algal blooms caused by *Prorocentrum donghaiense* occurred frequently in the East China Sea (ECS) during spring in recent years. In this study, a coupled biophysical model was used to hindcast a massive *P. donghaiense* bloom that occurred in 2005 and to determine the factors influencing bloom initiation and development. The model comprised the Regional Ocean Modeling System tailored for the ECS that utilized a multi-nested configuration and a population dynamics model for *P. donghaiense*. Comparisons between simulations and observations revealed that the biological model is capable of reproducing the characteristics of *P. donghaiense* growth under different irradiances and phosphorus limitation scenarios. The variation of intracellular phosphorus and the effects of *P. donghaiense* on ambient nutrients conditions were also reproduced. The biophysical model hindcasted the hydrodynamics and spatiotemporal distributions of the *P. donghaiense* bloom reasonably well. Bloom development was consistent with observations reported in earlier studies. The results demonstrate the capability of the model in capturing subsurface incubation during bloom initiation. Then model's hindcast solutions were further used to diagnose the factors controlling the vertical distribution. Phosphate appeared to be one of the factors controlling the subsurface incubation, whereas surface wind fields played an important role in determining *P. donghaiense* distribution. The results highlight the importance of nutrient-limitation as a mechanism in the formation of *P. donghaiense* subsurface layers and the dispersing of *P. donghaiense* blooms. This coupled biophysical model should be improved and used to investigate *P. donghaiense* blooms occurring in different scenarios.

**Key words:** *Prorocentrum donghaiense*, algal blooms, biophysical model, subsurface incubation, East China Sea

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## 1 Introduction

In recent years, large-scale harmful algal blooms occurred frequently in coastal areas of China. In particular, blooms of the dinoflagellate *Prorocentrum donghaiense* (affected 1 000–10 000 km<sup>2</sup> for more than 30 d) have been a recurrent phenomenon in spring in the East China Sea (ECS) since the 1990s (Zhou et al., 2003, 2006). Previous investigations indicate that *P. donghaiense* blooms may be regulated by a suite of complex biological and physical processes (Chen et al., 2006; Tang et al., 2006; Sun et al., 2008; Zhu et al., 2009; Li et al., 2011). Characterizing environmental variability and understanding how physical and biological factors govern bloom initiation and development have therefore been the focus of *P. donghaiense* bloom dynamic studies.

In addition, the fine-scale vertical distributions of phytoplankton are considered important hotspots of ecological activity; for example, the thin layer found in the coastal ocean, meters beneath the surface, contains phytoplankton up to two orders of

magnitude above ambient concentrations (Durham et al., 2009). A large number of harmful algal species have been observed in these thin layers (McManus et al., 2008; Rines et al., 2002, 2010; Sullivan et al., 2003, 2005, 2010) highlighting the importance of understanding the vertical distribution in harmful algal blooms research. *Prorocentrum donghaiense* requires retention zones or other small-scale structures for populations development; these zones are thought to be incubators for subsequent massive *P. donghaiense* blooms (Zhou and Zhu, 2006). For example, *P. donghaiense* remained at the subsurface/middle layer for one month in early April 2004, this led to the later development of massive bloom (Zhou and Zhu, 2006). In 2005, *Karenia mikimotoi* became the dominant species followed by *P. donghaiense* in the subsurface/middle layer, leading to the outbreak of a large bloom in late May (Zhou and Zhu, 2006).

Several mechanisms have been proposed to explain the formation and persistence of thin layers (Durham and Stocker, 2012);

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current interpretations of their formation favor abiotic processes (Durham et al., 2009). However, the mechanisms responsible for the formation and persistence of *P. donghaiense* subsurface layers remain inconclusive and require further investigation. The 2005 bloom season provided an opportunity to determine the factors influencing the vertical distributions of *P. donghaiense* blooms, since intensive measurements and sampling were undertaken during this period (Zhang et al., 2008). *In-situ* observations and numerical models can form a complementary approach to such investigations. While *in-situ* observations provide valuable information for describing physical and biological conditions, they are spatially and temporally limited in the depiction of bloom evolution. In contrast, numerical models can reproduce continuous pictures of blooms, and reveal the underlying physical or biological mechanisms. The purpose of this paper was to utilize a coupled biophysical model to hindcast a massive *P. donghaiense* bloom that occurred in 2005, with particular focus on its vertical development.

## 2 Data and methods

The coupled modeling system comprised a circulation model and a biological model describing the growth of diatoms (represented by *Skeletonema costatum*) and dinoflagellates (represented by *P. donghaiense*). Note that the interaction such as allelopathy between diatoms and dinoflagellates is not considered in the model. The physical model provided the fields of velocity, turbulence diffusivity, temperature, and salinity to the biological model.

### 2.1 Biological model

The *S. costatum*-specific model has been described in Sun et al. (2014). One of our main objectives in the present study was to develop, as much as possible, a *P. donghaiense*-specific model based on theoretical formulas and laboratory-yielded parameters. The biological model was a multi-component model involving *P. donghaiense* and two dissolved inorganic nutrients (nitrate [NO<sub>3</sub><sup>-</sup>] and phosphate [PO<sub>4</sub><sup>3-</sup>]). The growth rate of *P. donghaiense* is influenced by the temperature, salinity, irradiance, and nutrients. Interested readers are referred to Sun et al. (2014) for a detailed description of the *S. costatum*-specific model with a similar structure. Here, the changes made to the original model structure (Sun et al., 2014) are emphasized.

In contrast with the *S. costatum* model, the *P. donghaiense* model does not include silicon-related components. In addition, the effect of temperature and salinity on *P. donghaiense* growth is depicted as per Xu et al. (2010) (Eq. (1)). Previous investigations show that *P. donghaiense* can grow only in the temperature and salinity ranges of 10–31°C and 15–40, respectively (You, 2006; Zhao, 2006; Deng et al., 2009). Therefore, the temperature (*T*) and salinity (*S*) limitation relative to the maximum growth are shown as

$$\left\{ \begin{array}{l} f_{TS} = (-1.98246 + 0.121461T + 0.0854S - \\ \quad 0.00513TS - 0.00012T^3 + 0.000226T^2S - \\ \quad 0.000057TS^2 - 0.0000047S^3) / 0.867, \\ \quad (R^2 = 0.906) \quad 10^\circ\text{C} \leq T < 31^\circ\text{C} \text{ and } 15 \leq S < 40, \\ f_{TS} = 0 \text{ if } T < 10^\circ\text{C} \text{ or } T > 31^\circ \text{ and } S < 15^\circ \text{ or } S > 40. \end{array} \right. \quad (1)$$

Before coupling with the circulation model, the biological model was calibrated and validated against the results of published laboratory experiments. All strains used in these experiments were isolated from the ECS. The set conditions of numerical tests were the same as that used in each experiment, including initial cell concentrations, nutrient concentrations (NO<sub>3</sub><sup>-</sup> and PO<sub>4</sub><sup>3-</sup>), temperature, salinity, and irradiance. The carbon (C) content of *P. donghaiense* cells was set as a constant 207 pg/cell

(Chen et al., 2007) and the initial chlorophyll *a* (Chl *a*) content was set as 1 pg/cell (Lu, 2005; Sun and Duan, 2006; Zhao, 2006; Zhao et al., 2009). We set the initial content ratios of nitrogen (N)/C and phosphorus (P)/C as an average (i.e., equal to the mean of the minimum and maximum values) unless noted otherwise. The culture media were assumed homogeneous in the model since they were stirred daily in the experiments. A scattergram was used to assess the calibration and validation in accordance with Sun et al. (2014).

#### 2.1.1 Calibration

We tested the sensitivity of state variables (cell concentration, Chl *a*, NO<sub>3</sub><sup>-</sup>, and PO<sub>4</sub><sup>3-</sup>) to different parameters under N- or P-limiting conditions (results not shown). The photosynthetic and quota parameters are always the most influential, although results vary depending on the state variables and culture conditions. These parameters were then calibrated via an ad hoc method (manual adjustment of parameter values) and a model parameter estimation modeling software (Doherty, 2004). The ranges of most parameter values were derived from *P. donghaiense* experiments reported in the literature.

We used the experimental results of Xu et al. (2010) to calibrate photosynthetic parameters. In the experiment, eleven irradiance levels (2, 4, 7, 15, 30, 60, 90, 110, 140, 170, and 230 μmol quanta m<sup>-2</sup> s<sup>-1</sup>) were used in a 12 h : 12 h light:dark cycle. Cell concentrations were assessed daily, and the specific growth rates (d<sup>-1</sup>) in the exponential growth phase were calculated according to Guillard (1973). The experimental results by Lai et al. (2011) were used to calibrate the quota parameters. In the experiment, four PO<sub>4</sub><sup>3-</sup> levels (0, 2, 4, and 8 μmol/L) were used in each treatment where NO<sub>3</sub><sup>-</sup> was sufficient. The irradiance level was 45 μmol quanta m<sup>-2</sup> s<sup>-1</sup> in a 12 h : 12 h light:dark cycle.

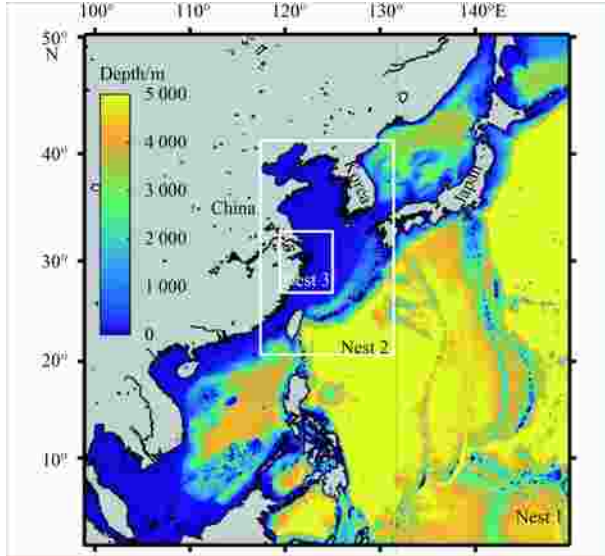
#### 2.1.2 Validation

We used two experiments to validate model parameters and test the model's ability to represent *P. donghaiense* growth. The first experiment was performed by You (2006) to investigate the effect of irradiance *P. donghaiense* growth. In the experiment, four different irradiance levels (10, 30, 55, and 100 μmol quanta m<sup>-2</sup> s<sup>-1</sup>) were used in a 12 h : 12 h light:dark cycle. The other experiment comprised a P uptake assessment as performed by Huang et al. (2005) to test the bioavailability of PO<sub>4</sub><sup>3-</sup> to *P. donghaiense*. In their experiment, the initial concentrations of cells, NO<sub>3</sub><sup>-</sup>, and PO<sub>4</sub><sup>3-</sup> were 1×10<sup>4</sup> cells/mL, 882 μmol/L, and 5.4 μmol/L, respectively. The irradiance level was 88 μmol quanta m<sup>-2</sup> s<sup>-1</sup> in a 14 h : 10 h light:dark cycle. The set concentration of cell and nutrients were the same as those reported by Huang et al. (2005). In addition, the initial cellular P quota was set at 0.0729 pmol/cell according to the experimental results.

### 2.2 Circulation model

The circulation model was based on the Regional Ocean Modeling System (ROMS <http://www.myroms.org/>), a free-surface, hydrostatic and primitive-equation model based on the nonlinear terrain-following coordinate (Song and Haidvogel, 1994). Details of the ROMS computational algorithms have been reported by Shchepetkin and McWilliams (2005). The central model domain (Fig. 1) extended from 27°N to 33°N and from 119°30'E to 125°E with a horizontal resolution of 2 km and 24 levels in the vertical. To overcome the difficulties associated with defining open boundary conditions for the regional coastal circulation model, we implemented a multi-nested configuration. Given the nature of one-way nesting, the climatology simulations of Nest 1, Nest 2, and Nest 3 were performed in sequential order, in which only the hydrodynamics were computed. Following this,

the Nest 3 hindcast from January 1 to June 30 in 2005 was carried out, in which both the hydrodynamics and algal populations were simultaneously simulated. Interested readers are referred to Sun et al. (2016) for more details on the circulation model.



**Fig. 1.** Domains of the one-way nested model Nest 1, Nest 2, and Nest 3.

### 3 Results

#### 3.1 Calibration results

The model was fit to the experimental data, and the obtained parameter values (Table 1) are in the ranges reported by the literature. In order to buffer the large fluctuations caused by the pho-

tosynthesis parameters, we used parameter B and set it to 10 in order to match the growth dynamics of observations. Mortality was set to  $0.35 \times 10^{-6} \text{ s}^{-1}$  in all of the experiments included in the calibration and validation; this value is within the range reported by Baklouti et al. (2006). Table 2 lists the other parameters used in the model.

Figures 2 and 3 show the comparisons between the simulated and observed data. The corresponding scattergrams are also presented. These figures show that the model outputs fit the experimental results well ( $R^2$  between 0.72–0.99). We then used the adjusted parameters in the validation unless stated otherwise.

#### 3.2 Validation results

The simulated results show that the growth rate and maximum biomass under  $10 \mu\text{mol quanta m}^{-2} \text{ s}^{-1}$  were significantly lower than those under the other three irradiances, as shown in Fig. 4. With elevations in irradiance, the growth rate increased rapidly until saturation at  $30 \mu\text{mol quanta m}^{-2} \text{ s}^{-1}$ . Similar to the model outputs, the experimental growth increased with irradiance and was saturated at low irradiance ( $\sim 30 \mu\text{mol quanta m}^{-2} \text{ s}^{-1}$ ). This indicates that the model could approximately depict the effect of irradiance on *P. donghaiense* growth.

Variations in cell concentration, intracellular P, and  $\text{PO}_4^{3-}$  are shown in Fig. 5. The experimental results show that *P. donghaiense* grew exponentially with abundant supplementation of ambient  $\text{PO}_4^{3-}$  in the first two days (Fig. 5a), whereas the concentration of  $\text{PO}_4^{3-}$  decreased significantly and was maintained at zero (Fig. 5b). Figure 5c shows that excess P was stored in the intracellular P pool. Intracellular P was concentrated as high as 0.3 pmol/cell in the first day and then decreased gradually. When the  $\text{PO}_4^{3-}$  supply was exhausted, *P. donghaiense* could maintain its growth for several days as shown in Fig. 5a. During this period, *P. donghaiense* utilized its intracellular P to support growth, and the cell concentration reached a peak of  $7 \times 10^4$  cells/mL. Growth halted when intracellular P decreased to 0.07 pmol/cell (Fig. 5c).

**Table 1.** Tuned parameter values in the model

Parameter	Symbol	Unit	Value
Mean Chl <i>a</i> specific absorption coefficient at 400–700 nm	$\bar{a}$	$\text{m}^2/\text{g}$	29
Maximum quantum yield of carbon fixation	$\phi_m^C$	$(\text{mol C})/(\text{mol quanta})$	0.080 6
Effective cross-section of photosystem (PS) II	$\sigma$	$\text{m}^2/\text{quanta}$	$550 \times 10^{-20}$
Turnover time of electron transfer	$\tau$	s	$1 \times 10^{-2}$
Minimum content ratio of nitrogen to carbon	$Q_{\min N}$	$(\text{mol N})/(\text{mol C})$	0.020 3
Maximum content ratio of nitrogen to carbon	$Q_{\max N}$	$(\text{mol N})/(\text{mol C})$	0.084 9
Minimum content ratio of phosphorus to carbon	$Q_{\min P}$	$(\text{mol P})/(\text{mol C})$	0.002 7
Maximum content ratio of phosphorus to carbon	$Q_{\max P}$	$(\text{mol P})/(\text{mol C})$	0.018 6
Maximum content ratio of Chl <i>a</i> to nitrogen	$\theta_m^N$	$(\text{g Chl } a)/(\text{mol N})$	2.64
Mortality	$m$	$\text{s}^{-1}$	$0.35 \times 10^{-6}$
Buffer coefficient	$B$	–	10

**Table 2.** Other parameter values used in the model

Parameter	Symbol	Unit	Value	Reference
Dimensionless PS II damage rate	$k_d^H$	–	$4.5 \times 10^{-8}$	Baklouti et al. (2006)
Repair rate of damaged PS II	$k_r$	$\text{s}^{-1}$	$2.6 \times 10^{-4}$	Baklouti et al. (2006)
Half-saturation constant for $\text{NO}_3^-$ uptake	$k_{\text{NO}_3}$	$(\text{mol N})/\text{m}^3$	$10.8 \times 10^{-3}$	Sun (2010), Xu (2006)
Half-saturation constant for $\text{PO}_4^{3-}$ uptake	$k_{\text{PO}}$	$(\text{mol P})/\text{m}^3$	$1.5 \times 10^{-3}$	Deng (2004), Li (2006), Xu (2006), Ou et al. (2008)
Half-saturation inhibition constant	$K_I$	$(\text{mol N})/\text{m}^3$	$0.54 \times 10^{-3}$	Baklouti et al. (2006)
Maximum realized inhibition	$I_m$	–	0.72	Baklouti et al. (2006)
Respiration cost for growth	$R_g$	$(\text{mol C})/(\text{mol C})$	0.25	Baklouti et al. (2006)
Respiration cost for $\text{NO}_3^-$ uptake	$R_{u, \text{NO}_3}$	$(\text{mol C})/(\text{mol N})$	0.4	Baklouti et al. (2006)
Respiration cost for $\text{NO}_3^-$ reduction	$R_{r, \text{NO}_3}$	$(\text{mol C})/(\text{mol N})$	2	Baklouti et al. (2006)
Respiration cost for $\text{PO}_4^{3-}$ uptake	$R_{u, \text{PO}_4}$	$(\text{mol C})/(\text{mol P})$	0.2	Cannell and Thornley (2000)

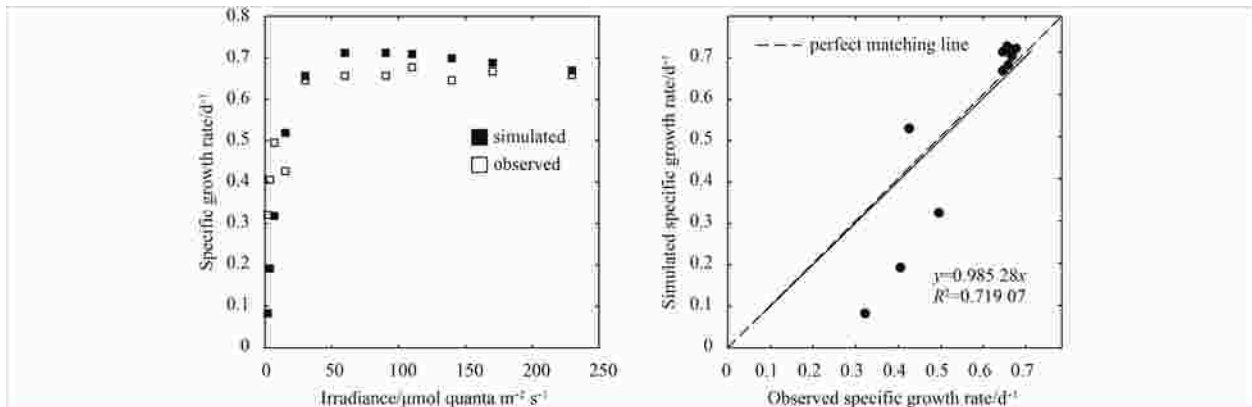


Fig. 2. Growth rate versus irradiance for *P. donghaiense* (data from Xu et al., 2010) and corresponding scattergram.

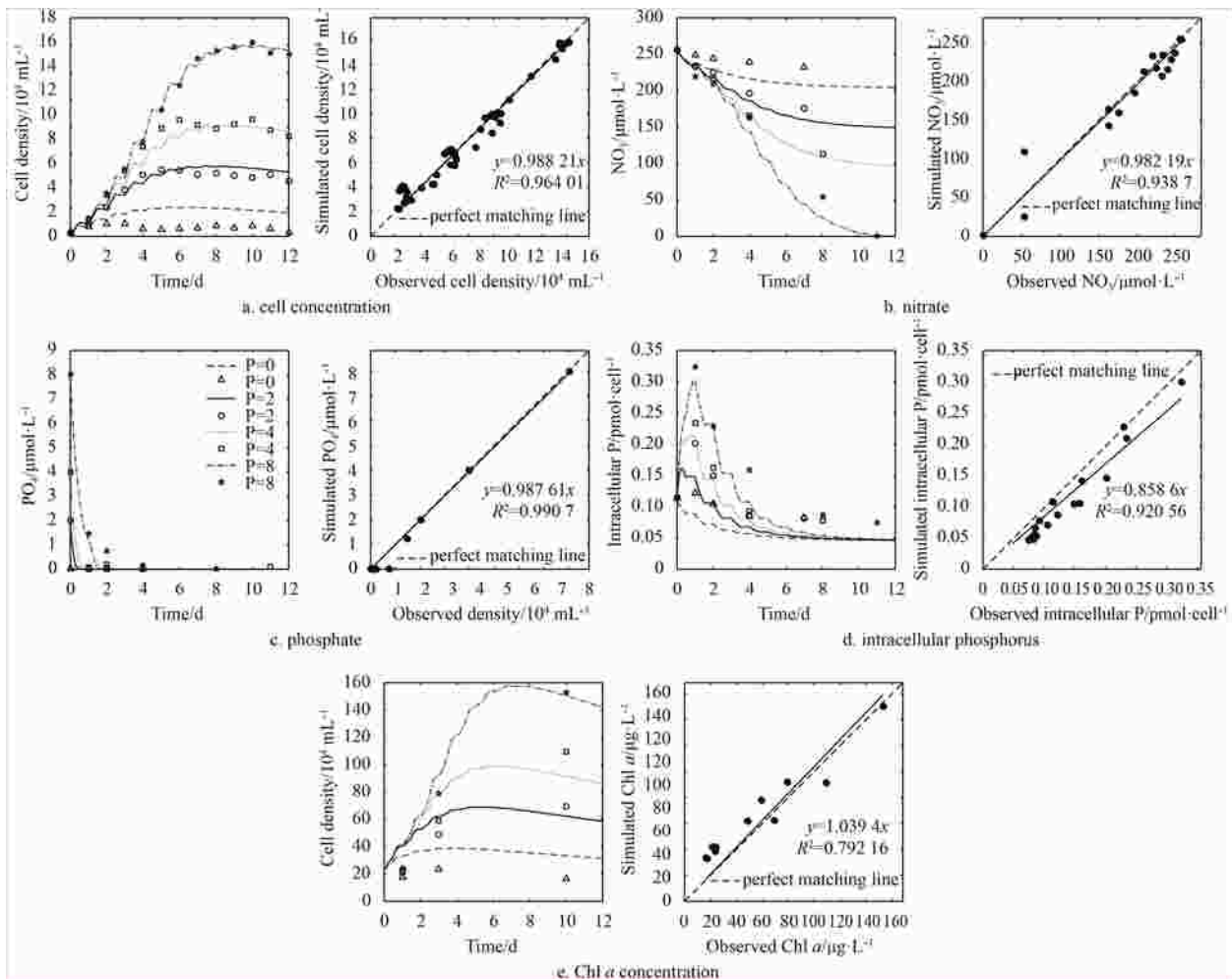


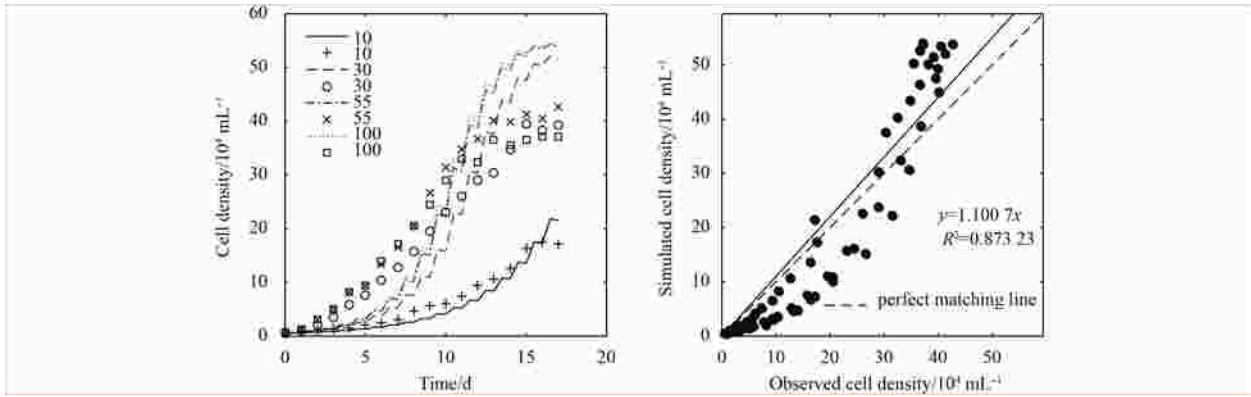
Fig. 3. Comparisons between the simulations (lines) and observations (data points) (data from Lai et al., 2011), and corresponding scattergrams. a. Cell concentration, b. nitrate, c. phosphate, d. intracellular phosphorus, and e. Chl *a* concentration.

The simulated results are in a good agreement with laboratory results of cell concentration,  $\text{PO}_4^{3-}$ , and intracellular P, indicating that the model can represent the growth dynamics of *P. donghaiense* and stoichiometry variations under P-limited conditions.

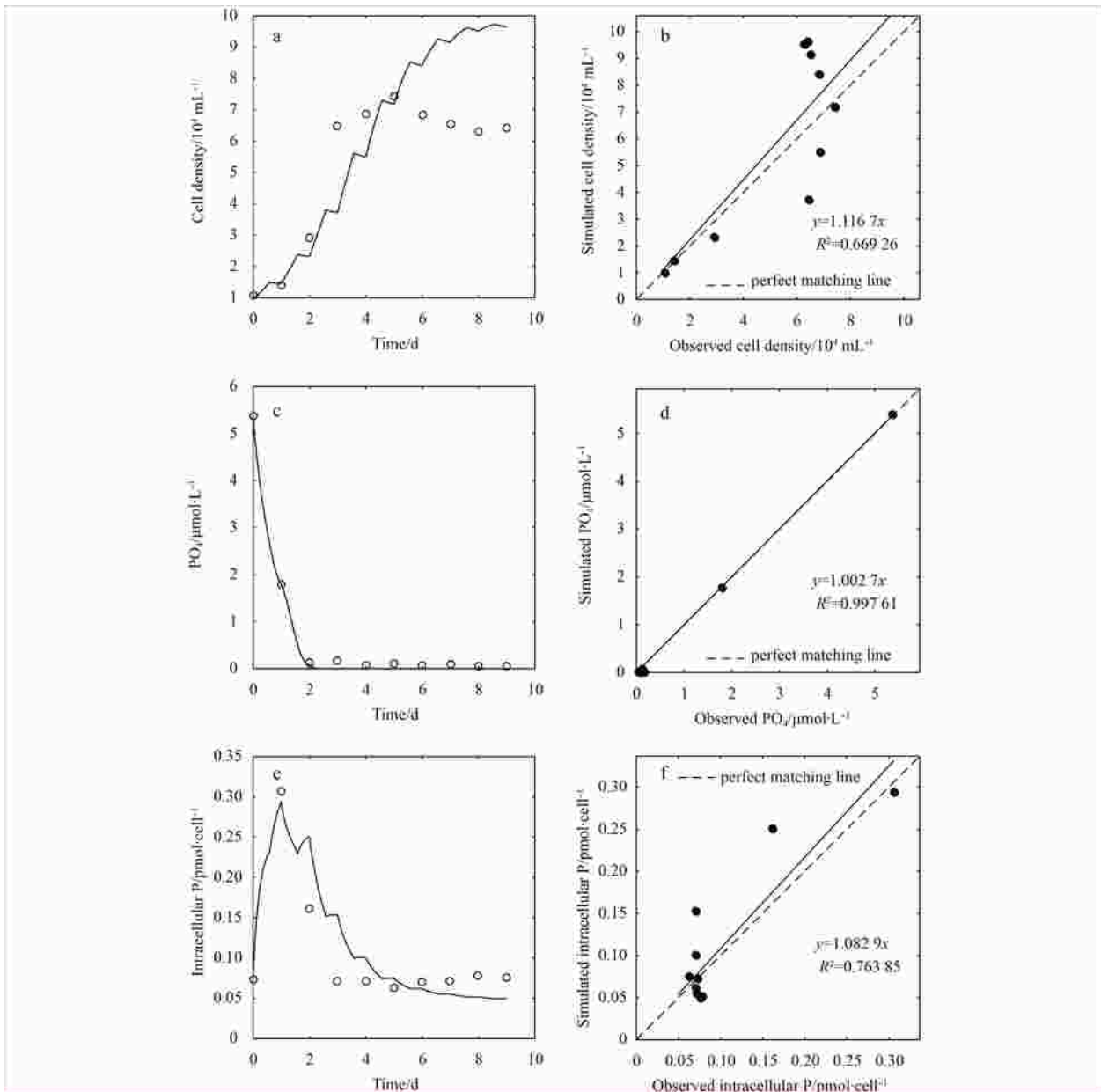
### 3.3 Coupled model results

Numerical models are advantageous for producing time- and space-continuous state variables from which important ocean

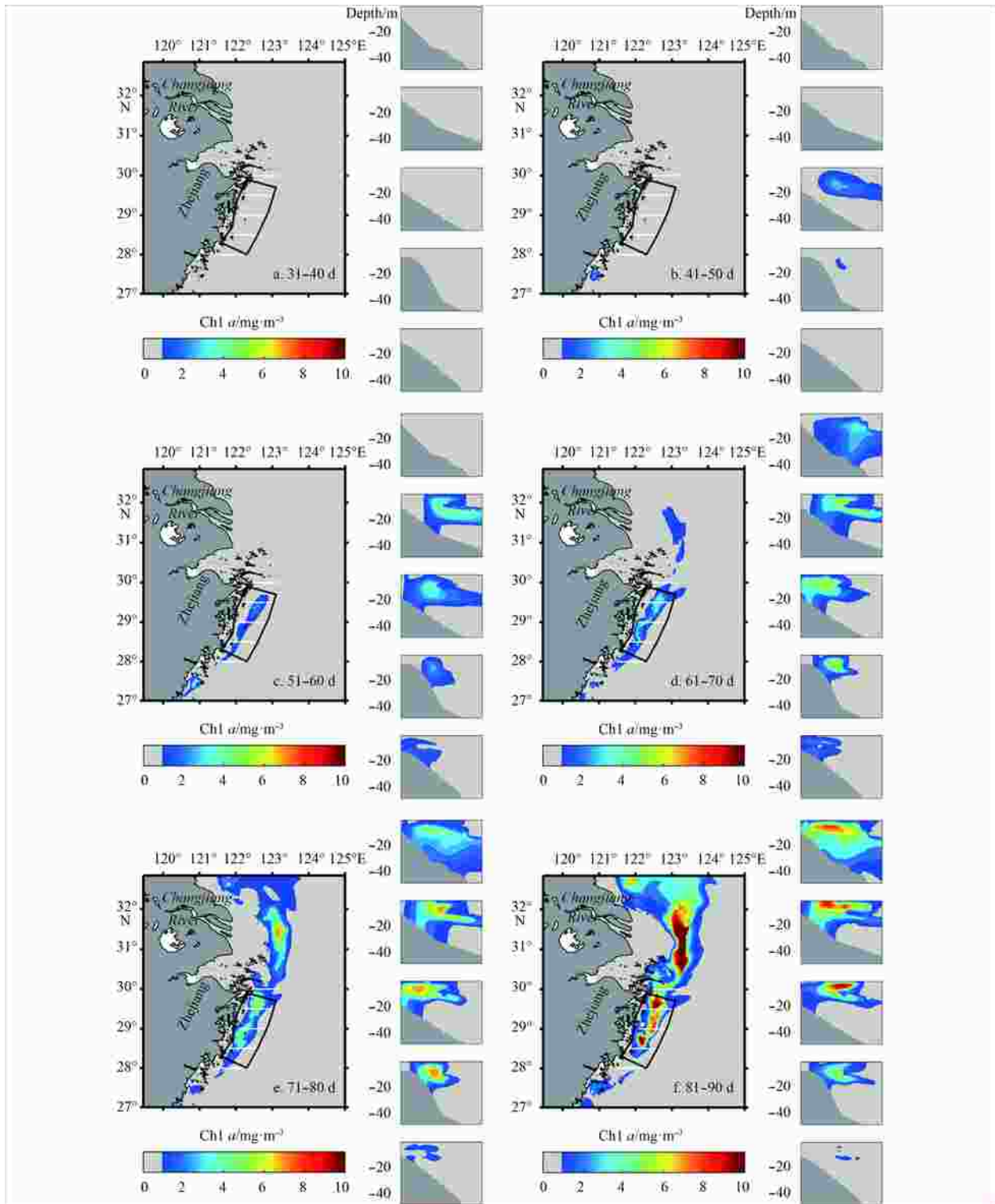
physical and biological processes can be deduced. Extensive model-data comparisons are required, and hydrographic comparisons including temperature, salinity, and current have been described in the companion paper (Sun et al., 2016). In summary, these comparisons suggest that, in general, the model is capable of reproducing the observed hydrodynamics, increasing the confidence that the biological model is couched in a realistic physical environment. As discussed in the companion paper



**Fig. 4.** Simulation of *P. donghaiense* growth under four irradiance levels and corresponding scattergram. Lines represent simulations and data points observations (data from You, 2006).



**Fig. 5.** Comparisons between the simulations (lines) and observations (data points) (data from Huang et al., 2005). a and b. Cell concentration, c and d. phosphate, and e and f. intracellular phosphorus.



**Fig. 6.** Ten day-mean distributions of the three-dimensional *P. donghaiense* bloom. In each panel, the surface Chl *a* concentration map is displayed above five sections near the survey area showing both surface and vertical Chl *a* distributions. Maximum Chl *a* concentration is set to 10 mg/m<sup>3</sup> to highlight the threshold of the bloom. The survey region is marked by the black outline, corresponding to Fig. 1 shown in Sun et al. (2016).

(Sun et al., 2016), the dinoflagellate bloom initiated after April; therefore, only the outputs of the last 60 d (May and June) were analyzed and discussed. In the realistic physical environment and the observed nutrients field, the model reproduced an outbreak of *P. donghaiense* bloom in late June, consistent with previ-

ous observations (Zhang, 2008). Model realizations provide detailed illustrations of bloom evolution and the three-dimensional structure. This paper focused on the vertical distribution of the bloom, while the horizontal distribution is presented in the companion paper (Sun et al., 2016).

Before the 40th day, the Chl *a* concentration in the whole survey area was below 1 mg/m<sup>3</sup> (Fig. 6a). During the 40–50th days, while the surface concentration of Chl *a* was below 2 mg/m<sup>3</sup>, the vertical profiles revealed higher Chl *a* concentrations (>3 mg/m<sup>3</sup>) near the isobaths at 10–20 m depth (subsurface layer) (Fig. 6b). The Chl *a* concentrations in subsurface layer then became denser and gradually moved upward (Figs 6c, d and e). Ultimately, Chl *a* was largely concentrated at the surface waters, leading to the outbreak of a bloom (Fig. 6f). The incubation of Chl *a* in the subsurface layers agrees with observations (Fig. A1). Since subsurface incubation is believed to be recurrent in this area (Zhou and Zhu, 2006) and the vertical Chl *a* profiles in 2005 have not been published, the data in 2004 are shown to illustrate this phenomenon (Fig. A1).

## 4 Discussion

### 4.1 Growth controlled by irradiance and P limitation

We should initially discuss the indicator of phytoplankton biomass. In our model, both C and Chl *a* were formulated and could both be selected as indicators of phytoplankton biomass (Cullen, 1982). Since most results of the laboratory experiments used in Section 2.1 were based on cell concentrations, C was selected to estimate the biomass of *P. donghaiense*. The relationship between C and Chl *a* was well demonstrated in Figs 3a and e. Chl *a* was selected as the indicator of *P. donghaiense* biomass during the bloom simulation because the observations of *P. donghaiense* bloom were based on Chl *a*. Furthermore, Chl *a* is a better indicator to represent the effect of irradiance on the vertical distribution of *P. donghaiense*.

Previous investigations have confirmed that light significantly influences the growth of harmful algal species, and promotes the formation of blooms, especially in turbid environments such as the coastal area of the ECS (Xu et al., 2010). The optimum irradiance for *P. donghaiense* growth exceeds 30 μmol quanta m<sup>-2</sup> s<sup>-1</sup> (Sun, 2010; Xu et al., 2010; Dai et al., 2011); this is in agreement with the simulated results of the present study. The optimal light intensity for *P. donghaiense* growth is lower than that of several other algae (*Alexandrium tamarense*, *Karenia mikimotoi* and *Skeletonema costatum*), suggesting that *P. donghaiense* may have a blooming advantage in turbid environments (Sun et al., 2008). The present findings show that PO<sub>4</sub><sup>3-</sup> was quickly taken up and stored in intracellular P-pools in the early stage of the experiment (Figs 3c and d; 5b and c), confirming that *P. donghaiense* is a luxury P consumer (Huang et al., 2005; Lai et al., 2011). In the model, the relationship between the primary production rate and internal pool of limiting nutrient (after Droop, 1968) enabled the model to capture these details.

### 4.2 Factors governing vertical distribution of blooms

Given that the coupled model could produce a generally credible hindcast of the hydrodynamics and *P. donghaiense* bloom in 2005, it can be used as a tool to investigate bloom evolution. Term-by-term diagnostics of the model governing equation allow the detailed evaluation of the relative importance of each potential controlling factor. We extract the data from the third section, which is located at the middle of the five sections shown in Fig. 6. Chl *a* was shown to mimic the spatiotemporal evolution of the gross Chl *a* synthesis rate (Figs 7a and b). Since mortality did not play a major role, the difference between the net synthesis term (Fig. 7c) and the gross synthesis rate (Fig. 7b) was negligible. In this regard, the analysis of terms regulating the gross synthesis rate is particularly valuable. The gross synthesis

rate reflects variations in C (Fig. 7d) and μ(E, N, P) (Fig. 7e). Chl *a* synthesis is confined to upper water since the distribution of C is limited in deep water due to light attenuation. Why does Chl *a* accumulate at the subsurface layers? We note that at the surface layers, the limited region of Chl *a* synthesis is consistent with μ(E, N, P) (Fig. 7e). This region could be divided into two parts (as shown in Fig. 7e). Both parts were limited by light at the surface layers (Fig. 7f), while nutrient limitation (Fig. 7g) especially PO<sub>4</sub><sup>3-</sup> limitation (Fig. 7h) was more pronounced in the eastern part. In contrast, NO<sub>3</sub><sup>-</sup> limitation (Fig. 7i) did not play a major role.

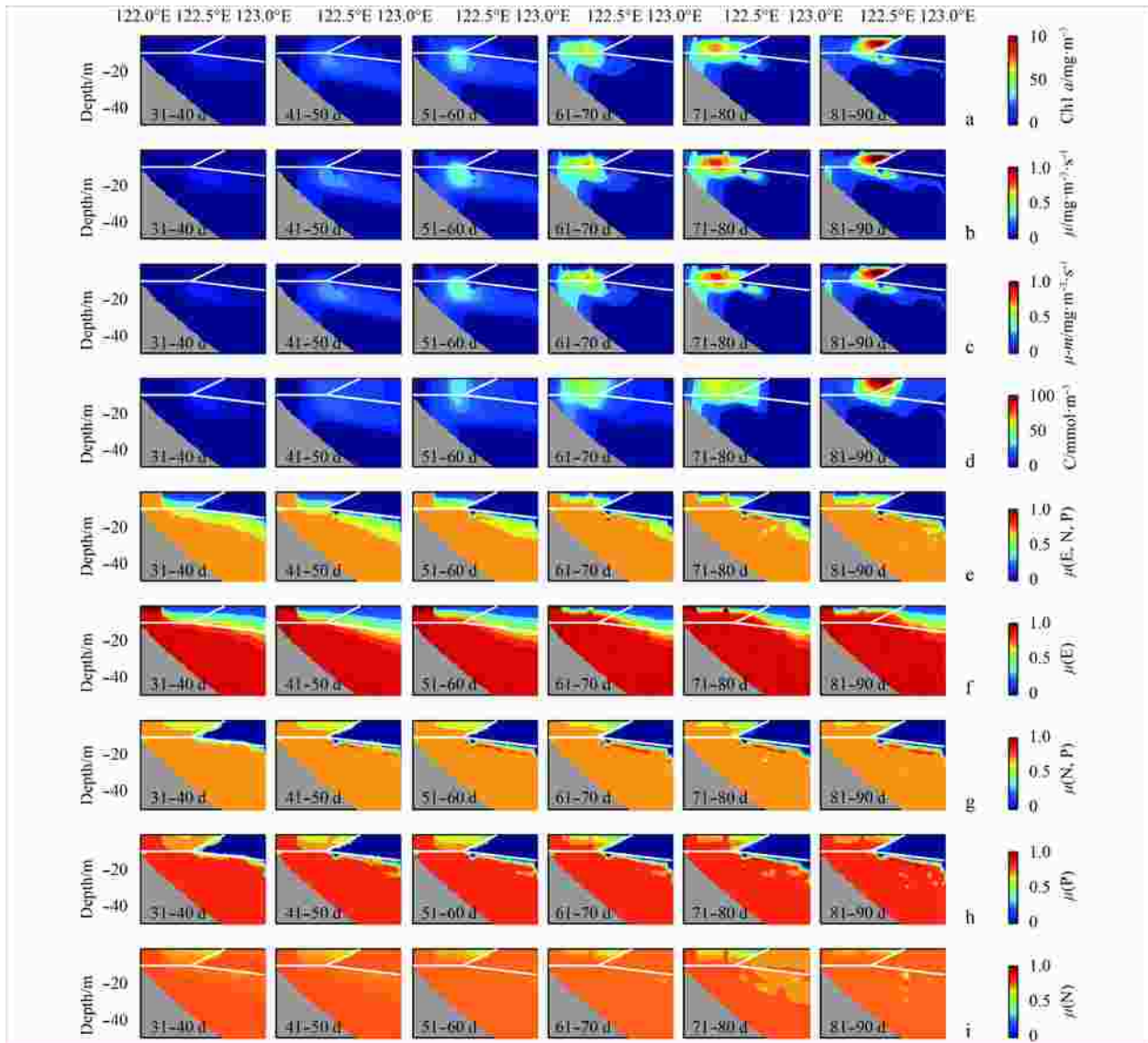
### 4.3 Factors affecting bloom evolution

Zhou and Zhu (2006) divided a time series of dinoflagellate blooms in the ESC into four stages: initiating, developing, proliferating, and dispersing. They also proposed the movement of cells in each stage, as listed in Table 3. Our simulated results captured a similar process of bloom evolution. Although the model did not reproduce the motion from the bottom to the subsurface at the initiating stage, the motion of Chl *a* from the subsurface to the surface was well reproduced. In Section 4.2, we concluded that Chl *a* synthesis might be limited by the intensive light and partially by nutrient limitation at the surface layers. As a result, Chl *a* was concentrated at the subsurface layer for more than 30 d. Following this, the Chl *a* core (Fig. 7a) gradually moved towards the surface. Furthermore, we also noted the horizontal movement of the Chl *a* core. Comparing the evolution of Chl *a* distribution (Fig. 7a) with surface wind as shown in Fig. 8, we could determine the relationships between them. Over the entire period, the surface wind force played an important role in the distribution of *P. donghaiense*. Easterly winds prevailed from the 40th to 80th day, persistently pushing water along with *P. donghaiense* shoreward. During the last 10 d, the algal bloom was pushed off-shore by strong westerly winds.

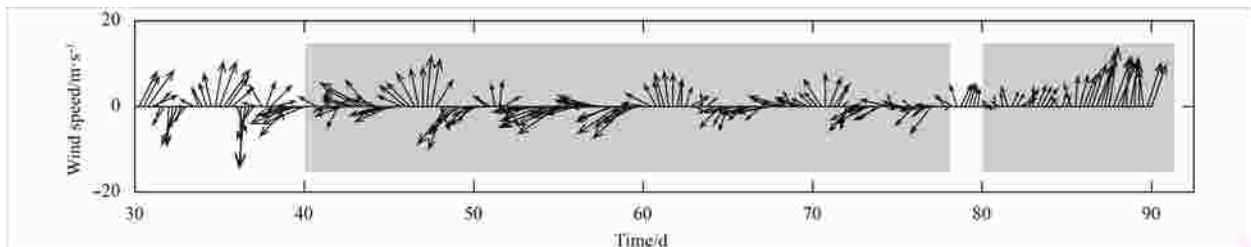
**Table 3.** A preliminary hypothesis on motion during large-scale dinoflagellate blooms in the East China Sea (after Zhou and Zhu, 2006)

Bloom evolution	Motion
Initiating	Bottom→Subsurface Offshore→Nearshore
Developing	Subsurface
Proliferating	Surface
Dispersing	Surface→Subsurface→Bottom Nearshore→Offshore

The effects of surface wind fields on the horizontal and vertical distribution of algal blooms have been discussed in numerous previous investigations (Vanhoutte-Brunier et al., 2008; Li et al., 2009; Luo et al., 2012; McGillicuddy Jr et al., 2014; Son et al., 2015). In Fig. 9, we illustrated the distribution of Chl *a* over the last 6 d to highlight the dispersing stage since this stage was shorter than 10 d. At the end of the proliferating stage, the Chl *a* concentrated at the surface layers, where the higher synthesis rate resided. During the last 6 d, surface winds pushed the bloom off shore where the surface synthesis rate was limited by PO<sub>4</sub><sup>3-</sup>. As a result, the bloom dispersed, with the Chl *a* core moving downward gradually and bypassing the P-limited area. This highlights the importance of surface wind forcing in the determination of the spatial distribution of blooms. This is consistent with the cell motion in the dispersing stage proposed by Zhou and Zhu (2006).



**Fig. 7.** Ten day-mean vertical distributions of modeled Chl *a* and terms regulating Chl *a* synthesis rates, ranging from 0 (total limitation) to 1 (no limitation). The section is located at the middle of the five sections shown in Fig. 6.  $\mu(E)$  is light-limited term, and  $\mu(N, P)$  is the nutrient-limited term determined by minimum ( $\mu(N), \mu(P)$ ), according to the principle of Liebig's Law.  $\mu(E, N, P)$  is determined by  $\mu(E) \times \mu(N, P)$ . The gross synthesis term ( $\mu$ ) is determined by  $\mu(E, N, P)$  and  $C$ . The net synthesis term is  $\mu - m$ . The upper layer is divided into two parts by the white lines.

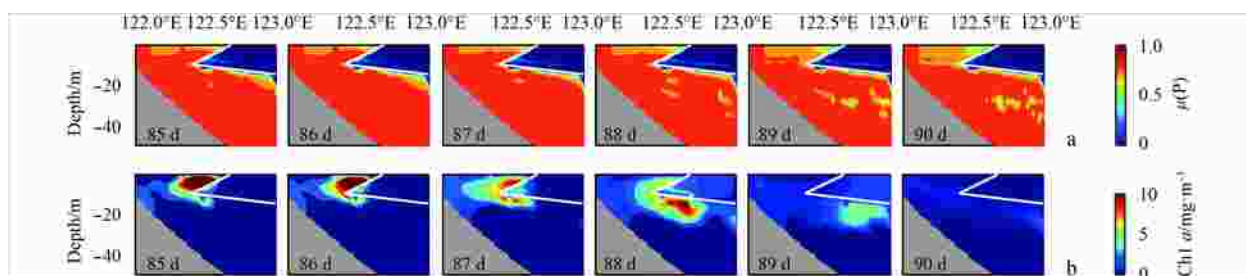


**Fig. 8.** Time series of wind vectors. Grey represents the easterly and westerly wind.

#### 4.4 Incubation at the subsurface layer

Phytoplankton aggregation via *in-situ* growth can occur when growth is most vigorous at mid-depth, i.e., when growth is either light- or nutrient-limited, except over a small depth interval or when nutrients are abundant only at mid-depth (Durham and

Stocker, 2012). As reported by Zhou and Zhu (2006), the subsurface incubation of *P. donghaiense* may be closely related to physical processes. They found that the subsurface temperature was more capable of supporting *P. donghaiense* growth due to the invasion of warm water, while the surface temperature was too low



**Fig. 9.** The vertical distributions of modeled  $\mu(P)$  (a) and Chl *a* (b) during the last 6 d. The section is located at the middle of the five sections shown in Fig. 6. The P-limited area is marked by the white outline.

in early April. Chen et al. (2006) indicated that the turbidity of coastal waters and the distribution of nutrients might lead to subsurface incubation. Until now, no definite conclusion has been established, with several factors suspected as the potential cause of subsurface incubation. Our simulated results emphasize the importance of nutrient limitation in this phenomenon; however, further investigations are needed to confirm the reliability of the present findings. In addition, several other mechanisms (including shear, convergent swimming, buoyancy, gyrotactic trapping, intrusions, and grazing) have been proposed. Two of these (convergent swimming and gyrotactic trapping) are related to dinoflagellate swimming. This vertical migration of dinoflagellates has been previously formulated in several models (He et al., 2008; Li et al., 2009) to investigate the development of algal blooms. In the present model, the vertical migration of *P. donghaiense* was not considered. Further addition of the swimming behavior and other formation mechanisms is a topic of ongoing research.

## 5 Conclusions

We designed a species-specific model for *P. donghaiense* that considered biological processes such as growth, uptake, and Chl *a* synthesis. By calibrating the parameters against experimental results, the biological model successfully reproduced the growth of *P. donghaiense* under various light and  $PO_4^{3-}$  availability scenarios. The biological model was integrated with the ROMS tailored for the ECS to analyze the spatiotemporal evolution of a *P. donghaiense* bloom in 2005. The coupled model faithfully reproduced the ECS coastal hydrodynamics and the observed *P. donghaiense* bloom off Zhejiang Province. Factors affecting the vertical evolution of the bloom along one typical section were analyzed through diagnosing various terms that regulated the Chl *a* synthesis rate.

The results revealed a significant influence of  $PO_4^{3-}$  on the vertical distributions of Chl *a* concentration. Furthermore, a forcing mechanism is proposed to explain the observed incubation in the subsurface waters, in addition to the dispersion of the bloom from the surface to the bottom. Surface wind fields played an important role in modulating the transportation, in the form of persistent easterly winds causing strong onshore transportation at the developing stage and strong westerly winds causing subsequent offshore transportation. The westerly winds also helped disperse the bloom, pushing the algal population off shore more quickly, where the Chl *a* synthesis was limited by  $PO_4^{3-}$ .

Collectively, our results are consistent with the observations proposed by earlier studies. Although the findings highlight the importance of nutrient-limitation in the formation of *P. donghaiense* subsurface layers and dispersing of *P. donghaiense* blooms, other biological factors, as well as hydrodynamic trans-

port, may play important roles in determining the spatiotemporal structures of the bloom. Since *P. donghaiense* blooms are a recurrent problem in the ECS, the coupled model should be further improved and used under different scenarios.

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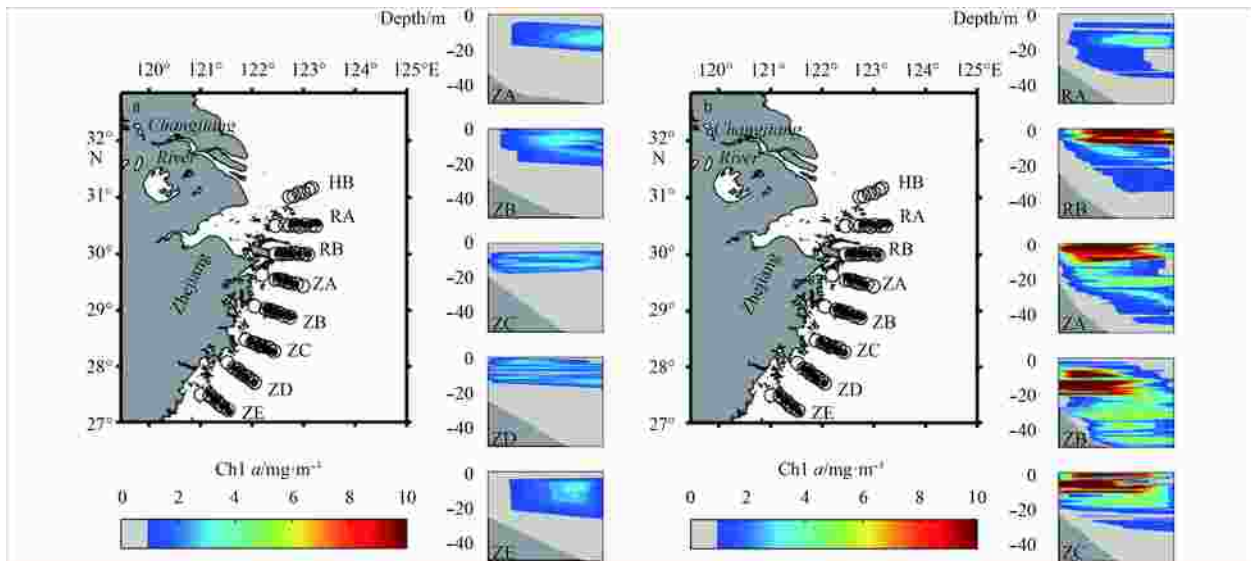
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## Appendix:



**Fig. A1.** Survey stations during the 2004 cruises (solid circle) and the development of the *P. donghaiense* bloom between April (a) and May (b) at the subsurface layer (after Zhou and Zhu, 2006). Survey stations during the 2005 cruise (blank square) are also presented to show the similarity of sections between the two years. Eight coast-scale ship surveys were executed between March 27 and June 24 in 2005, covering the entire coastal region. Nutrient data acquired from the survey were utilized in the model and a detailed description of the sampling method can be found in Zhang et al. (2008).